

PSYC305  
**Applied Cognition & Neuroscience**

**Aviation & Aerospace**

*There are problems on the ground too*

**Air Traffic Control (ATC)**

**Evolution of ATC**

Spotters & Controllers communicate via telephone

Radio, maps & “shrimp boats”

Increased air traffic required “mental model” of aircraft – flight progress strips

Addition of radar after WWII workload, sector controllers, & handoffs

Introduction of ARTS integrated displays and three-level division of labour



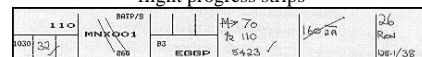
1<sup>st</sup> ATC Center 1935  
 Newark NJ  
 communication via phone to spotters and other airports



ATC Controllers update traffic on maps by moving “shrimp boats”



NYC ATC Center 1942  
 flight progress strips



Physical representation of aircraft call sign, origin, destination, etc.  
 To aid controller’s memory & mental model (like shrimp boats)



Introduction of radarscopes after WWII



Washington Air Route Traffic Control Center 1955  
 Radar, shrimp boats, & flight strips



Automated Radar Terminal System (ARTS) Center circa 1975

Incorporates data from radar & flight plans

Displays symbol & text that indicates:  
 call sign, type of aircraft, destination airport, ground speed, altitude, scratchpad info

Some information on flight strips, some on display

Information updated by radar sweep; information vs. clutter

### Three-level division of labour

1. ATC Towers
2. Terminal area approach control facilities (TRACONs)
3. En route centers: Air route traffic control center (ARTCC)

### Tower Controller Tasks

- Issue clearance for aircraft to push back from gate
- Confirm schedules/flight plans (already done with flight services, dispatch)
- Takeoff/landing, prior assurance safe separation from other traffic
- Manage ground traffic to/from gate
- Hand off aircraft to/from TRACON

### Tower Resources

- Vision: Need clear view of local airspace  
Issues of: Atmospheric perspective, fog, planes that look identical, night vision
- Flight strips: physical representation of aircraft, shows status, move around workstation based on status updates
- Communications: Radio -- always start with aircraft ID, all aircraft can hear messages, allows for a larger mental model of all aircraft position
- Handoffs: Voice used for accept/decline handoff (if runway is not clear), flight strips relayed between tower/radar room, pilot changes radio frequencies, contacts next group

### Terminal area approach control facility (TRACON) Tasks

- Manage flow of departing aircraft from tower to en route controller
- Manage flow of arriving aircraft from en route controller to tower
- "Line up" aircraft at regular spacing (in three dimensions)  
1,000 ft vertical, 3-5 miles horizontal
- Different level TRACONS, depending on workload

Need to maintain "*the picture*" – situation awareness

#### TRACON Resources

- Vision: dark, low contrast environment (to maintain dark adaptation), do not see flights directly
- Automated Radar Terminal System (ARTS)
- Flight strips, present as a backup in case ARTS data tags are lost
- Radio communications, highly standardized  
ATC – pilot & ATC – ATC

### En Route Center Tasks (Air Route Traffic Control Centers-ARTCC)

- Handle aircraft over long distances toward destination
- Handle aircraft over areas that do not have radar
- Assist pilots in navigating through navigation waypoints (VOR navigation signals)
- Maintain separation of 5 miles and 1,000 or 2,000 vertical
- Laid over top of any local TRACON sector
- Deliver aircraft to destination TRACON, without overloading the TRACON station

### En Route Center Resources

(Similar to TRACON)  
Flight strips  
Radio communications  
HOST computer, radar (plan view display/PVD), flight data, & "snail trail" of past trajectory

### Flight Progress Strips

Two current views on FPS

#### *Get rid of FPS*

Roadblock to automation  
Reduced workload  
Obsolete & anachronistic

#### *Keep FPS*

Inherent advantages  
Evolution/memory aid  
comm/coord aid  
Good backup

Research:

Frequency of use    Interviews    Importance ratings

### FPS markings

Strip mark	Importance	Frequency
Altitude coord	69	.47
Point out	60	.45
Cntl info (elim/rev)	29	.61
Issued altitude	55	2.90
Issued route	88	.69
Issued heading	68	.51
Issued speed	50	.46

Note that importance and frequency aren't the same!

## Applied Cognitive Methods

*What should you test?*

*What should you measure?*

*How should you collect the data?*

*How should you analyse the results?*

## Current Applied Cognitive Issues in ATC

Perception, temporal distortion,  
& channelised attention

Vigilance, distraction, habituation,  
& fatigue

Displays, symbology, communications,  
& problem solving

Shift changes & handovers

Workload, situation awareness, & stress

## SITE Testing Planning Model

A test should include measures from each of the four categories.

Situation	Individual	Task	Effect
controls & displays	skill & experience	speed	success/failure
software usability	workload & fatigue	accuracy	user satisfaction/
design features	situation awareness	force	dissatisfaction
system function	stress levels	timing	cost/benefit
or configuration		sequence	
time of day			

SITE is a method of planning tests to ensure you collect a complete set of data & paint a complete picture.

You are building a chain of logic, if any link is missing, the chain fails.

## Testing planning issues

Selection of test measures

Measurement methods:

archival, observation,  
recording devices,  
instrumentation,  
questionnaires

(objective/subjective  
quantitative/qualitative)

Type of test:  
lab or field test  
(control vs fidelity)

Type of task  
content (face) validity  
construct validity  
& criterion validity

Type & number of participants  
(typical vs SMEs)

## Measurement methods

*Archival data:* look for physical evidence in the environment -- wear patterns, notes & records, employee reports, repair invoices, injury reports, shipping/billing receipts, etc. Non-intrusive, but privacy can be an issue.

*Direct observation:* good for a variety of speed & accuracy measures, but requires a lot of observers & inter-observer reliability. Can also be intrusive (disruptive) to what you are trying to test.

## Measurement methods

*Recording devices:* audio, video, or computer recordings of the test. Produces a permanent record of test, but expensive & data reduction takes a lot of effort, 1:10 ratio.

*Instrumentation:* counters connected to controls, keyboard loggers, instrumented vehicles, etc. Efficient and accurate, but no context recorded, may be difficult to interpret

*Questionnaires:* good for a wide variety of measures (only method for some measures) but questionnaire creation, if done correctly, takes a lot of work and is often subject of disagreement. Standardized questionnaires should be used where possible. Can be efficient but shouldn't be over-used.

	Objective	Subjective
Quantitative	# of lever presses, reaction time, height/weight	# correct, IQ score, SWAT score
Qualitative	hit or miss, crash, accuracy, task sequence	personality type, crash cause, attitude

### Types of tests & tasks

Laboratory tests: use element tasks, part-task tests, & simulations. Careful manipulation of one element of the environment at a time provides lots of control to test experimental hypotheses. May have problems with fidelity and generalisation of results.

Field tests: use real or representative tasks. Try to re-create how real users interact with the environment while measuring changes in outcomes. Can provide good fidelity but may have problems inferring causality due to a lack of control.

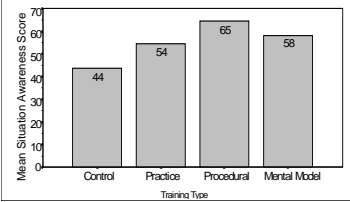
content (face) validity: is the measure representative?  
 criterion validity: is the measure predictive?  
 construct validity: does the measure define the principle or theoretical elements?

### Training SA in ATC

Luther & Charlton, 1999  
 Simulated ATC task

Practice 3 types of training  
 Procedural (if-then rules)  
 Mental model (general principles & goals)

Effects on SA



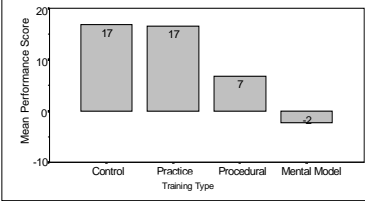
Training Type	Mean Situation Awareness Score
Control	44
Practice	54
Procedural	66
Mental Model	58

### Training SA in ATC

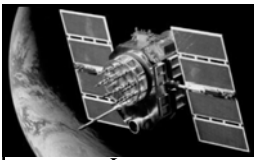
Simulated ATC task

Practice 3 types of training  
 Procedural (if-then rules)  
 Mental model (general principles & goals)

Effects on error rate




Training Type	Mean Performance Score
Control	17
Practice	17
Procedural	7
Mental Model	-2



### Global Positioning System (GPS)

- Largest ever satellite constellation (24+4)
- Military and civilian users worldwide
- Master Control, 3 ground antennas, 5 monitor stations
- Evolutionary acquisition programme
- High contact rate & precision orbits in 3 planes
- Crew composition & training issues
- Operator workload & situation awareness issues



Test 1: 7 developmental SVs, 1 operational SV in pre-launch  
 Stress test (redundant contacts) + questionnaires  
 Position handbooks inaccurate, unequal workload in crew  
 command & message systems too slow  
 SDO & GSO couldn't manage more than 18 SVs  
 SAO had unmanageable workload peaks

Test 2: 6 developmental SVs, 3 operational SVs in orbit  
 operational software, reallocated crew duties

AWE system: too many messages, cryptic, scroll too small & too fast, led to very poor SA – system safety issue  
 Workload: better distribution, but 21 SV max for 50% of crew, workload unmanageable at 24 SVs, 4 simul. not possible



### The Problem of Criteria

How can you set a criterion for HF?  
HF isn't a "mission" for space systems

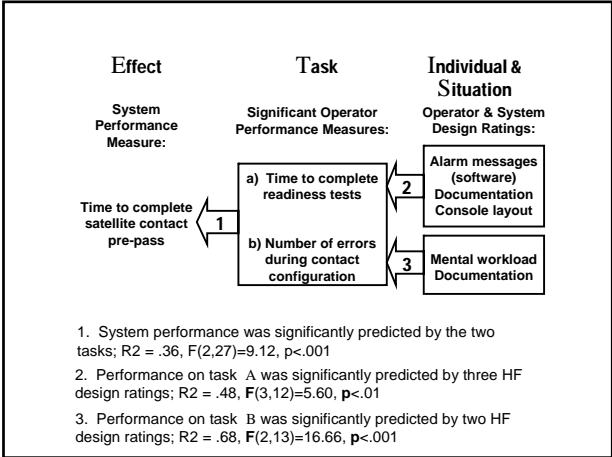
Effect	Task	Individual	Situation
<i>Did the system meet performance requirements?</i>	<i>Which operator tasks had the greatest impact on system performance?</i>	<i>Which user characteristics affected task performance?</i>	<i>Which design considerations &amp; environmental conditions affected the users &amp; task performance?</i>
<i>Were the users satisfied with system performance?</i>	<i>(or user satisfaction)</i>		

Use SITE as epidemiological structure

Test 3: 5 developmental SVs, 11 operational SVs in orbit  
same software, larger crew size

AWE system: too many messages, cryptic, scroll too small & too fast, led to very poor SA – system safety issue  
50% AWEs false or redundant, 10% of crew time

Workload: crew max at 22 SVs, need active workload management system for more satellites



Test 4: 24 operational SVs in orbit  
New AWE software, new duty scheduling software

Significant reduction in AWEs, 50% of AWEs still classified as false or redundant

Workload: active workload management throughout shift, workload acceptable at 24 SVs, 4 simuls. achieved regularly

### Case study 3: Tahuna Paeroa Intersection at SH27

Staggered "T" intersection  
East-bound and west-bound approaches regulated with STOP signs  
Good sight lines  
Twenty-four crashes at the site in five years (1995 through 1999)  
Asymmetric pattern of crashes

## Methodology Selection:

Fidelity issue – need wide FOV & large data set  
 Use a combination of archival data & field tests  
 Human Factors Analysis: SITE technique

Situation	Individual	Task	Effect	Recommended Intervention
Early & long eastbound clear sight angles	Change from 100 km/hr to stop =VMAE	Stop at intersection	Anticipatory decision-making	What can be changed from the S, I, or T columns to produce the greatest desirable change in the Effect?  1. Reduce opportunities for anticipatory crossing decisions (reduce clear sight) angles prior to intersection  2. Increase conspicuity & apparent speed of cross traffic (provide background markers for traffic)
Daytime, good visibility	Interruption to drive	Check traffic on SH27 & decide when clear	Failure to detect cross traffic	
Offset xing	Local drivers	Cross highway	Speed underestimation	
			Failure to complete stop	

Design of Field Test: Before/after comparison

Phase 1 (Baseline) existing intersection conditions  
 Phase 2 (Evaluation) modified intersection with approach screen & highway markers

### Measures of Effectiveness

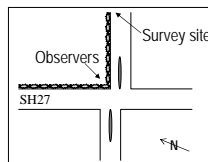
Approach speed (25m). Requirement: 10% reduction in C80 speed  
 Stop (dwell) times. Requirement: 50% increase in C20 dwell time  
 Traffic detection (250m). Requirement: 10% increase in detection rate

### Measures of Acceptance

Ratings of approach screen. Goal: Mdn = "acceptable" & <20% "unacceptable"  
 Ratings of markers. Goal: Mdn = "acceptable" & <20% "unacceptable"  
 Ratings of intersection safety.

If 2 or more MOEs meet requirements = effective intervention  
 Also goal of 2 MOAs for acceptable intervention

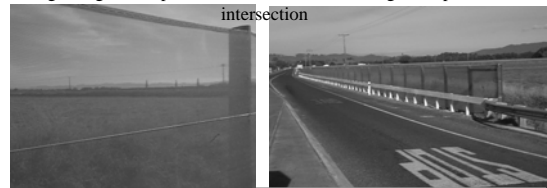
Approach speeds and intersection dwell times collected by observers (inconspicuously located behind hedge).



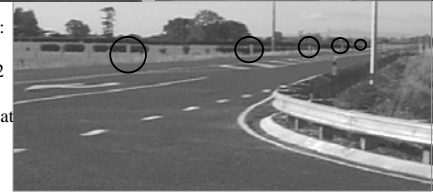
Vehicle detection data & driver ratings collected by intercept survey located 500 m east of SH27, (not visible from intersection). Target vehicle was black 1964 EH Holden Special Station Sedan, located 150 m from intersection.



**Approach treatment:** 30% knitted shade cloth 2.1 m in height, beginning 125 m prior to intersection and ending 25 m prior to intersection

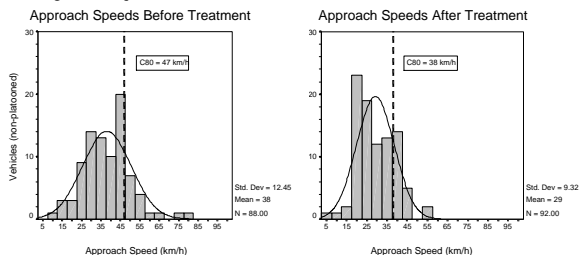


**Roadside treatment:** wooden markers 200mm wide by 1.2 meters tall, painted white and installed at 50 m intervals beginning 250 m prior to the



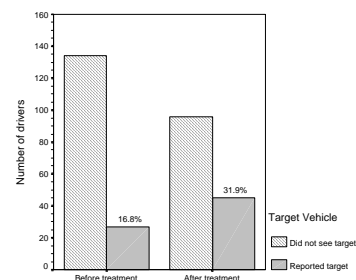
**MOE 1. Approach speeds.** The speed of east-bound vehicles measured 25 meters prior to the intersection. **Requirement:** a 10% reduction in the 80<sup>th</sup> percentile speed.

- 23.4% reduction in the 80<sup>th</sup> percentile speed.
- 10.95 km/h reduction in 85<sup>th</sup> percentile speeds (from 49.95 to 39 km/h).
- elimination of all approach speeds over 57 km/h.
- **Rating:** Met requirement.



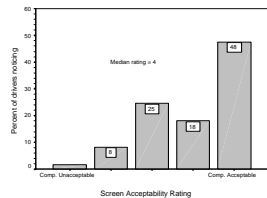
**MOE 3. Traffic detection rates.** The percent of drivers correctly reporting the presence and location of the target vehicle. **Requirement:** a 10% increase in detection rate.

- Increased from 16.8% prior to the treatment to 31.9%.
- **Rating:** Met requirement.

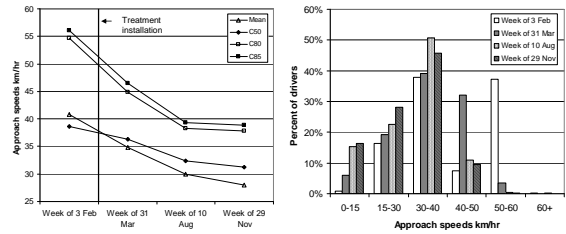


**MOA 1. Ratings of approach treatment.** Driver ratings of the acceptability of the screen treatment on a five-point equal interval bipolar rating scale ranging from “completely acceptable” to “completely unacceptable.” Goal: a median rating of “acceptable” or better with no more than 20% of the ratings in the unacceptable range.

- 56% of the drivers reported having noticed the screen
- Of drivers noticing, 48% rated the screen as “completely acceptable” (“5” on the 5 point acceptability scale).
- Only 1 driver rated the screen as “completely unacceptable”.
- Total of 4% of drivers in unacceptable range.
- **Rating:** Met goal.



### Speeds stayed low, over 3 years later!



### Laboratory 1 Results

#### Beeping cell phone experiment

Hypothesis: *Hazard warning tones broadcast on cellphone frequencies will overcome the negative effects of cell phone conversations on driver performance*

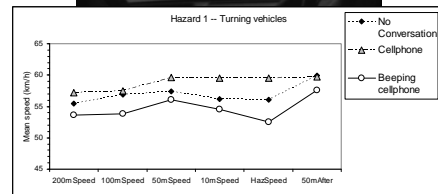
Comparison to “no conversation” & “standard hands-free”

Group means posted on Moodle  
*“Psync305Lab1Means.xls”*

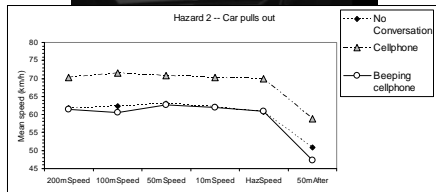
Another extension: Data forms due 2 April (via email)  
 Reports due 7 April (via FIC)  
 7-10 pages total  
 (typed & double-spaced)

Permission to include your results in a report to LTNZ

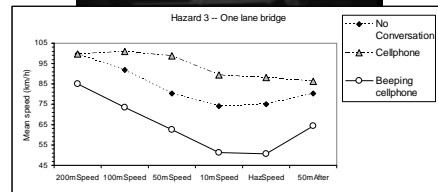
### Laboratory 1 Results



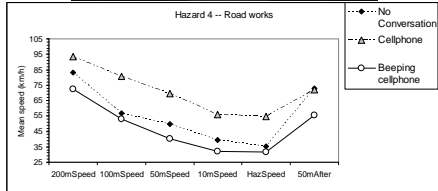
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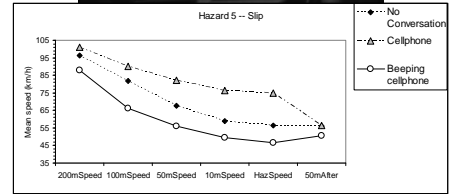
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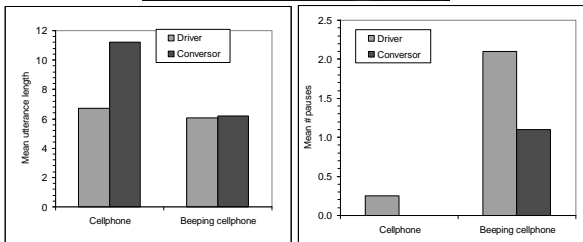
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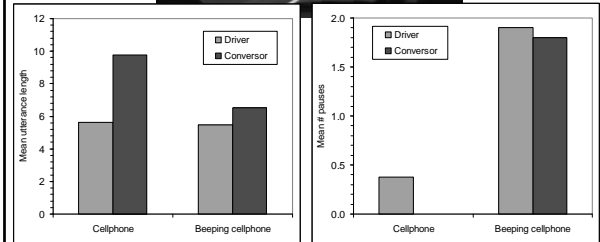
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### Laboratory 1 Results



### Laboratory 1 Results



### Laboratory 1 Results

